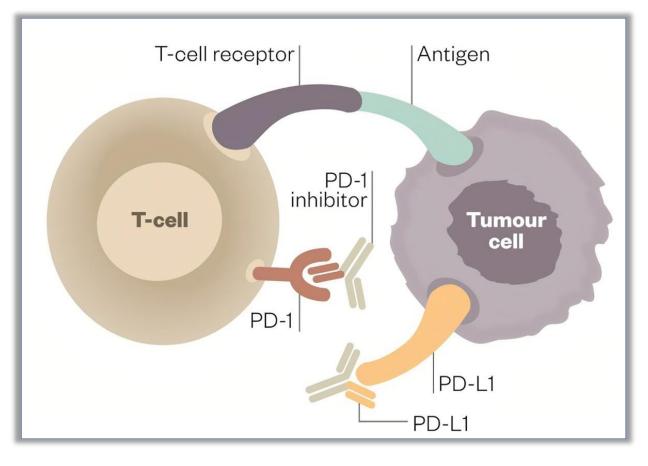
SNK01 AUTOLOGOUS ENHANCED NATURAL KILLER CELLS AND AN IMMUNE CHECKPOINT INHIBITOR CONTROL TUMOR GROWTH IN RARE CHEMOTHERAPY-RESISTANT ADVANCED SOFT TISSUE SARCOMA

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Background

Advanced or metastatic sarcoma is associated with an invariably fatal outcome. SNK01 is a first-in-kind, autologous, non-genetically modified natural killer cell therapy with highly enhanced cytotoxicity and over 90% activating receptor expression which can be consistently produced from chemotherapy-treated patients.



Pembrolizumab Legend: monoclonal antibody that binds to the PD-1 receptor and blocks its interaction with PD-L1 and PD-L2, releasing PD-1 pathway-mediated inhibition of the immune response, including the antitumor immune response.

Methods and Materials

Three cases with chemo-resistant advanced soft tissue sarcoma with the following histologic subtypes:

- Desmoplastic small round cell tumor (DSRCT)
- Radiation-induced chondrosarcoma (RIC)
- Undifferentiated spindle cell sarcoma

Case #1 (DSRCT) received SNK01 (2 x 10⁹ cells) and pembrolizumab 200 mg i.v. q 3 weeks.

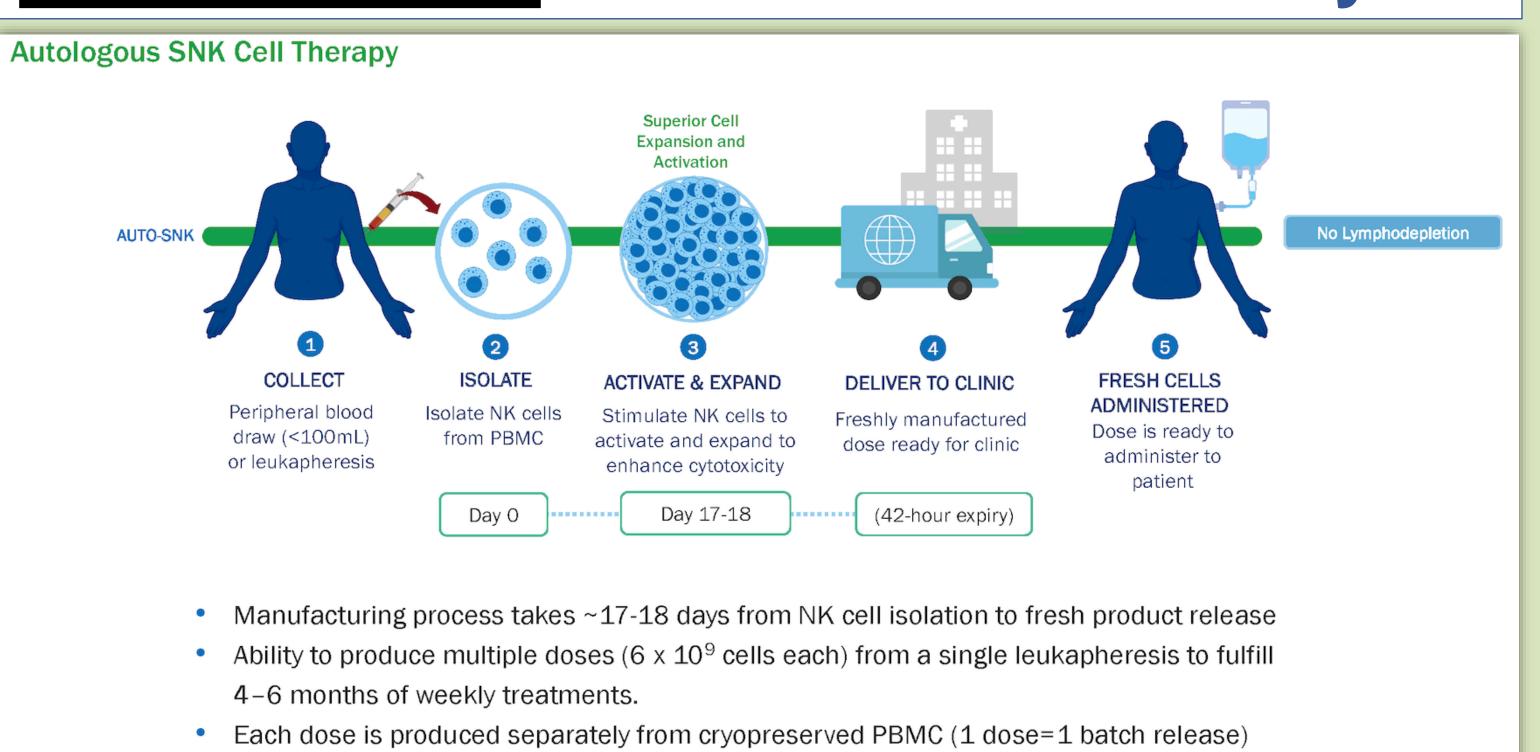
Case #2 (RIC) received SNK01 (4 x 10⁹ cells) and pembrolizumab 200 mg i.v. q 3 weeks.

Case #3 with undifferentiated spindle cell sarcoma was enrolled in the SK01-US01 study and received SNK01 (4 x 10⁹ cells) i.v. q 2 weeks and avelumab 800 mg i.v. q 2 weeks.

Objective: To report 3 unique rare cases of chemotherapy-resistant advanced STS who achieved durable partial responses and disease control with SNK01 + ICI.

Conclusion

Taken these together, unique case demonstrate the potential of studies in controlling tumor growth when combined with an immune check point inhibitor with no added toxicity.



Results: Safety analysis

Grade 3 or greater adverse events include hypothyroidism (n=1), increased ALT (n=1), increased alkaline phosphatase (n=1) and increased GGT (n=1) which were attributed to immune checkpoint inhibitor therapy.

Results: CASE 1

DSCRT: Patient had a 47% partial response over one year of treatment. Patient underwent a surgical debulking procedure followed by whole abdominal radiation and intraperitoneal chemotherapy, after which he resumed **SNK01** + **pembrolizumab** regimen for 47 cycles over 43 months. His showed no evidence disease (Figure 1). Patient's ECOG score is 0.

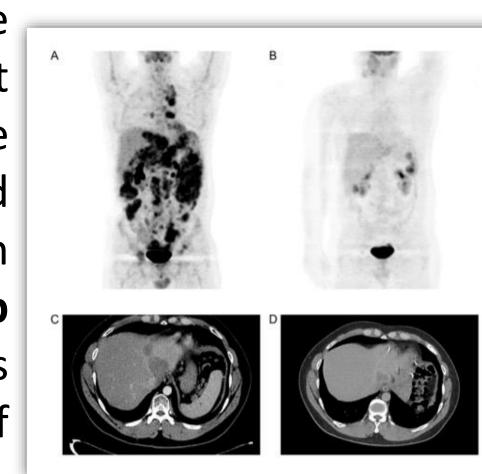


Figure 1: BEFORE treatment PET scan (A) and abdominal scan (C). AFTER treatment PET scan (B) and abdominal scan (D).

Results: CASE 2

RIC: Patient had a 38% partial response (Figure 2) after 4 months of treatment, underwent debulking surgery but died of post-surgical infection. The patient had 18 SNK01 cycles of received pembrolizumab survived additional months.

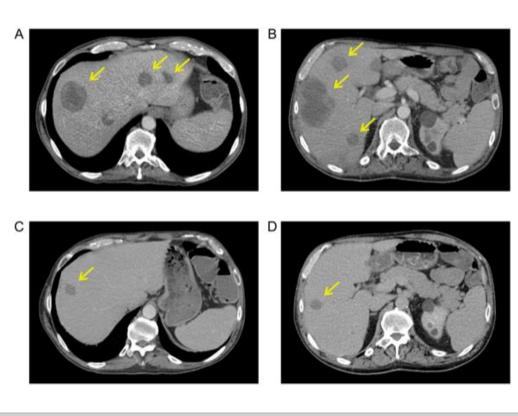


Figure 2: BEFORE treatment abdominal scans (A, B). AFTER treatment abdominal scans (C, D).

Results: CASE 3

<u>Undifferentiated spindle cell sarcoma:</u> The patient had durable disease control (SD), has received 41 treatment cycles during the 18-month treatment period.

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> 2) Min Hwa Shin, Junghee Kim, Siyoung A. Lim, Jungwon Kim, Seong-Jin Kim, and Kyung-Mi Lee. NK Cell-Based Immunotherapies in Cancer. (2020). DOI: 10.4110/in.2020.20.e14 Victoria S. Chua, Sant P. Chawla, Erlinda Maria Gordon, Ted T. Kim, Brenda L. Gibson, Paul Y. Chang, Paul Y. Song USFDA Authorized Compassionate Use of SNK01 (Autologous Non-Genetically Modified Natural Killer Cells With Enhanced

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